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Applicati n Number 10/077,617

Filing Date 2/15/2002

First Named Inventor Huang

Group Art Unit Not yet assigned

Examiner Name Not yet assigned

Total Number of Pages in This Submission

Attorney Docket Number 24133711.18

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
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- ☐ After Allowance Communication to Group
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Brian C. McCormack
BAKER & MCKENZIE

Signature

Brian C. McCormack

Date

09/25/2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

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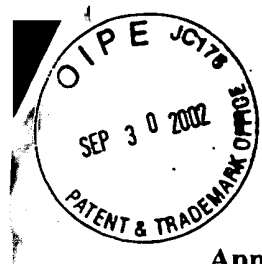
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Huang et al.

Serial No.: 10/077,617

Filed: February 15, 2002

For: OPTICAL EQUALIZATION
WITH BEAM COUNTER-
PROPAGATION

Commissioner for Patents
Washington, D.C. 20231

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Group Art No.: Not yet assigned

Examiner: Not yet assigned

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant(s) hereby notify the U.S. Patent and Trademark Office of the document(s) which is/are listed on the attached Form PTO-SB/08A. One copy of the listed documents is submitted herewith.

X (1) This IDS does NOT require a fee or 37 C.F.R. § 1.97(e) statement because it is being filed (check all that apply):

____ (a) Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); **OR**

____ (b) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; **OR**

X (c) Before the mailing of a first Office action on the merits; **OR**

____ (d) Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

7
___ (2) This information disclosure statement is filed before the mailing date of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and (check only one):

___ (a) Is accompanied by the fee set forth in § 1.17(p); **OR**

___ (b) Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; **OR**

___ (c) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

___ (3) This Information disclosure statement is filed on or before payment of the issue fee and is accompanied by the fee set forth in § 1.17(p); **AND** (check only one):

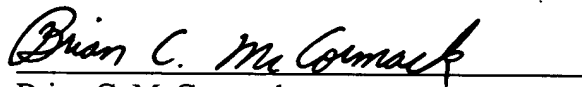
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individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant(s) does/do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Please charge any necessary fee or credit any overpayment in connection with this Information Disclosure Statement to Deposit Account No. 13-0480 (please reference 24133711.18)

Respectfully submitted,

A handwritten signature in cursive script, reading "Brian C. McCormack", is written over a horizontal line.

Brian C. McCormack
Registration No. 36,601

September 24, 2002
BAKER & McKENZIE
2001 Ross Avenue, Suite 2300
Dallas, TX 75201
(214) 978-3040 (telephone)
(214) 978-3099 (facsimile)

PTO/SB/08A (10-01)
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		KIM et al., Dynamic Gain Equalization of Erbium-Doped Fiber Amplifier with All-Fiber Acousto-Optic Tunable Filters, OFC '98 Technical Digest, pp. 136-138.	
		PARRY et al., Dynamic Gain Equalisation of EDFAs with Fourier Filters, Nortel Networks.	
		BETTS et al., Split-Beam Fourier Filter and its Application in a Gain-Flattened EDFA, OFC '95 Technical Digest, pp. 80-81.	
		FRISKEN et al., Low-Loss Polarisation-Independent Dynamic Gain-Equalisation Filter, Optical Fiber Communication Conference 2000, pp. 251-253, Vol. 2 (March 2000).	
		The Photonics Dictionary, Definition of Twisted Nematic Phase.	
		WU, Shin-Tson, Liquid Crystals, Chapter 14, pp. 14.1-14.26.	
		INOUE et al., Tunable Gain Equalization Using a Mach-Zehnder Optical Filter in Multistage Fiber Amplifiers, IEEE Photonics Technology Letters, Vol. 3, No. 8 (August 1991)	

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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